

# EXERCISES FOR THE AUSSOIS MINICOURSE “NILPOTENT LIE GROUPS AND SUBLINEAR BILIPSCHITZ EQUIVALENCE”

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A table of Lie algebras occurring in the exercises can be found in the end.

## 1. NILPOTENT LIE ALGEBRAS

**Exercise A.** Let  $\mathfrak{g}$  be a Lie algebra. Using the Jacobi identity, check that  $\text{ad}: \mathfrak{g} \rightarrow \mathfrak{gl}(\mathfrak{g})$  is a homomorphism of Lie algebras.

**Exercise B.** Show that every 4-dimensional nilpotent Lie algebra is isomorphic either to  $\mathbf{R}^4$  (by which we mean the Lie algebra  $\mathbf{R}^4$  with the Lie bracket 0),  $\mathfrak{heis} \times \mathbf{R}$  or  $\mathfrak{l}_4$ , and that the latter three Lie algebras are pairwise non-isomorphic.

**Exercise C** (Higher-dimensional Heisenberg Lie algebras). Let  $\mathfrak{h}$  be a 2-nilpotent Lie algebra such that  $\dim(Z(\mathfrak{h})) = 1$ .

- (1) Show that  $\dim \mathfrak{h}$  is odd. Let  $n$  be such that  $\dim \mathfrak{h} = 2n + 1$  in the sequel.
- (2) Show that  $\mathfrak{h}$  has a basis  $(X_1, \dots, X_n, Y_1, \dots, Y_n, Z)$  such that  $Z \in Z(\mathfrak{g})$ ,

$$[X_i, Y_j] = \begin{cases} Z & i = j \\ 0 & \text{otherwise;} \end{cases}$$

draw the diagram representing  $\mathfrak{h}$  in this basis.

- (3) Show that  $\mathfrak{h}$  is isomorphic to a Lie subalgebra of  $\mathfrak{u}_{n+2}$ .

## 2. CARNOT LIE ALGEBRAS

**Exercise D.** (1) Show that  $(\mathfrak{l}'_5)_\infty \simeq \mathfrak{l}_5$ . (See table in the end.)

- (2) Show that  $\mathfrak{l}_5$  and  $\mathfrak{l}'_5$  are not isomorphic Lie algebras. (Hint: you may look at ranks of  $\text{ad}_X$  for various  $X$  in  $\mathfrak{l}_5$  and  $\mathfrak{l}'_5$ .)

**Exercise E.** (1) Show that every 2-nilpotent Lie algebra is Carnot.

- (2) Let  $\mathfrak{g}$  be a  $s$ -nilpotent Lie algebra and let

$$\mathfrak{g} = \mathfrak{g}_1 \oplus \dots \oplus \mathfrak{g}_s = \mathfrak{g}'_1 \oplus \dots \oplus \mathfrak{g}'_s$$

be two Carnot gradings on  $\mathfrak{g}$ . Show that there exists a Lie algebra automorphism of  $\mathfrak{g}$  sending  $\mathfrak{g}_i$  onto  $\mathfrak{g}'_i$  for all  $i = 1, \dots, s$ .

## 3. NILPOTENT LIE GROUPS

**Exercise F.** The aim of this exercise is to prove that a Carnot Lie algebra  $\mathfrak{g} = \bigoplus_i \mathfrak{g}_i$  has a faithful embedding in  $\mathfrak{u}_n$  without resorting to Ado's theorem.

- (1) Let  $\mathfrak{h} = \langle T \rangle \oplus \mathfrak{g}$  where  $[T, X] = iX$  for all  $X \in \mathfrak{g}_i$ . Show that  $\mathfrak{h}$  is a Lie algebra and that  $\{X \in \mathfrak{h} : \text{ad}_X = 0\} = 0$  where  $\text{ad}_X(Y)$  denotes  $[X, Y]$ .

- (2) Show that  $\text{ad} : \mathfrak{h} \rightarrow \mathfrak{gl}(\mathfrak{h})$ ,  $X \mapsto \text{ad}_X$  defines an injective Lie algebra homomorphism, i.e.  $[\text{ad}_X, \text{ad}_Y] = \text{ad}_{[X, Y]}$ . Deduce from there that  $\mathfrak{g}$  is isomorphic to a Lie subalgebra of  $\mathfrak{gl}_{\dim \mathfrak{g}+1}(\mathbf{R})$ .
- (3) Show that there is a basis  $(e_0, \dots, e_{\dim \mathfrak{g}})$  of  $\mathfrak{h}$  such that  $\text{ad}(\mathfrak{g})$  is contained in  $\mathfrak{k} = \text{span}(E_{00}, \{E_{ij} : 1 \leq i < j \leq \dim \mathfrak{g}\})$  (where  $E_{ij} = e_i^* \otimes e_j$ ), and then that  $\mathfrak{k}$  is isomorphic to a Lie subalgebra of  $\mathfrak{u}_{\dim \mathfrak{g}+2}$ . Conclude.
- (4) Adapt the previous argument to show the result when  $\mathfrak{g}$  is the Lie algebra  $\mathfrak{l}'_5$  from Exercise D. (First, why is it an extra case?) (Hint: check that  $\mathfrak{l}'_5$  admits a grading in the positive integers.)

**Exercise G.** (1) Express the group law in terms of matrix coefficients on the Heisenberg group  $\mathbf{Heis}(\mathbf{R}) = \exp(\mathfrak{u}_3) < \text{GL}_3(\mathbf{R})$ , and recover the expression of the group law in exponential coordinates. (Hint : remember about the series expansion of  $\log$ ).

- (2) Using the same method with another group, explain why the coefficient  $\pm \frac{1}{12}$  appears in front of the Lie monomials of length 3 in the BCH series.

#### 4. HOMOGENEOUS NORMS AND GROWTH

**Exercise H.** In this exercise, you may use Losert's theorem from the first lecture.

- (1) Compute the order of polynomial growth of the Lie groups having Lie algebras  $\mathfrak{heis}$ ,  $\mathfrak{l}_4$ , and  $\mathfrak{g}_{4,3}$ .
- (2) Let  $\Gamma = \langle S \rangle$  be a finitely generated group. Assume that  $|S^n| = O(n^\pi)$ . Show that  $\Gamma$  has a free-abelian finite-index subgroup. (We recall that  $\pi < 3.2$ .)
- (3) Let  $\Gamma$  be a finitely generated group quasiisometric to  $\mathbf{Z}^d$ ,  $d \in \{1, 2, 3\}$ . Show that  $\Gamma$  has a finite-index subgroup isomorphic to  $\mathbf{Z}^d$ .

#### 5. ASYMPTOTIC CONES AND SBE

**Exercise I.** Let  $X, Y, Z$  be pointed metric spaces. Let  $f : X \rightarrow Y$  be a  $O(r^e)$ -SBE and let  $g : Y \rightarrow Z$  be a  $O(r^{e'})$ -SBE. Show that  $g \circ f : X \rightarrow Z$  is a  $O(r^{\max(e, e')})$ -SBE.

**Exercise J.** (\*)

- (1) Let  $\Gamma$  be a finitely generated group QI to  $\Lambda = \mathbf{Heis}(\mathbf{Z}([\sqrt{2}]))$ . Show that  $\Gamma$  has a finite-index subgroup isomorphic to a lattice in  $L = \mathbf{Heis}(\mathbf{R})^2$ . Do  $\Gamma$  and  $\Lambda$  have isomorphic finite-index subgroups?
- (2) In this question you are allowed to use that having polynomially bounded growth is a SBE invariant of CGLC groups [1, Corollary 3.5]. Let  $\Gamma$  be a finitely generated group  $O(r^e)$ -SBE to  $\mathbf{Z}^d$  for some  $e \in [0, 1)$  and  $d \in \mathbf{N}$ . Show that  $\Gamma$  has a finite-index subgroup isomorphic to  $\mathbf{Z}^d$ .

Name	Nonzero brackets (omiting antisymmetry)
$\mathfrak{heis} = \mathfrak{u}_3 = \mathfrak{l}_3$	$[X, Y] = Z$
$\mathfrak{l}_n, n \geq 3$	$[X_1, X_i] = X_{i+1}, 2 \leq i \leq n-1$
$\mathfrak{l}'_5$	$[X_1, X_2] = X_3, [X_1, X_3] = X_4$ , and $[X_1, X_4] = [X_2, X_3] = X_5$
$\mathfrak{g}_{4,3}$	$[X_1, X_2] = X_3, [X_1, X_3] = [Y_1, Y_2] = Z$ .

#### REFERENCES

- [1] Y. Cornulier. On sublinear bilipschitz equivalence of groups. *Ann. Sci. Éc. Norm. Supér. (4)*, 52(5):1201–1242, 2019.

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